

Public Employment Relations Board  
PO BOX 2074, ESP AGENCY BLDG 2, FLS 18 & 20  
Albany, NY 12220-0074

**RESUME OF PANEL ARBITRATOR**

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RICHARD J ROTH

Occupation: ARBITRATOR/MEDIATOR

ROSLYN, NY 11576

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**EDUCATION:**

M.B.A. - COLUMBIA BUSINESS SCHOOL, INDUSTRIAL RELATIONS, 1965

B.A. - COLUMBIA COLLEGE, HISTORY, 1962

CORNELL LAW SCHOOL, 1962 - 63

**PROFESSIONAL AFFILIATIONS:**

National Academy of Arbitrators

Long Island Industrial Relations Research – President and Executive Board Member

**ARBITRATION EXPERIENCE & TYPES OF ISSUES OR GRIEVANCES DISPOSED OF:**

Issued numerous opinions and awards as a panelist for the American Arbitration Association, FMCS, NYS Employment Relations Board, Nassau County PERB, New Jersey State Mediation Board, and NYC Office of Collective Bargaining. Permanent panel member for NYC Trucking Authority and Teamsters, Local 807; Nassau County and Nassau Health Care Corporation and CSEA Local 830; NY Produce Association and Teamsters, Local 202; League of Voluntary Hospitals and 1199 SEIU. Hearing Officer for Nassau PERB for representation cases involving correction officers, mental health workers, deputy county attorneys, and whether various titles were managerial or confidential. Also handled many types of cases dealing with discipline, contract interpretation, arbitrability, etc.

**MEDIATION AND/OR FACT FINDING EXPERIENCE:**

Mediated many cases on the above panels thereby avoiding arbitration.

**OTHER RELEVANT/ EQUIVALENT EXPERIENCE & AREAS OR INDUSTRIES OF SPECIAL INTEREST:**

Served as the Assistant Director of the NLRB, Region 29 for twenty years after previously working as a field examiner and supervisory examiner for ten years. I was responsible for the overall administration of the Regional office through subordinate supervisors of the work of Field Examiners and Attorneys in the investigation, hearing and processing through final disposition of all representation work filed in the Regional office. Served as one of the agenda heads on the disposition of the unfair practice cases and coordinated efforts to obtain settlements of those cases before formal hearing.

**PER DIEM FEE:** \$1,200

**ADJOURNMENT FEE:** \$1,200 or 14 days notice

**SIGNED AND SUBMITTED BY ARBITRATOR ROTH ON JULY 11, 2011**

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ARBITRATOR'S NAME: RICHARD J ROTH

The following is a description of my fees and expenses:

A) HEARING TIME.

(1) My per diem is \$1,200 for each day or any part thereof spent hearing a case.

(2) If a hearing day exceeds 8 hours, I charge:

\_\_\_\_\_ a second full per diem                      X a prorated per diem

\_\_\_\_\_ no additional charge                      \_\_\_\_\_ other (describe)

(3) Additional comments:

B) STUDY TIME.

(1) I charge \$1,200 for each day spent in preparation of the opinion and award.

(2) This charge X will \_\_\_\_\_ will not be prorated for partial days devoted to such preparation.

(3) Additional comments:

C) TRAVEL TIME AND EXPENSES.

(1) When travel time plus hearing time exceeds 10 hours in a calendar day:

\_\_\_\_\_ Not applicable (no additional charge)

X I charge as follows (describe): A PRORATED PER DIEM

(2) I charge for actual, travel-related expenses incurred in connection with the case X YES \_\_\_\_\_ NO.

Where appropriate, a mileage charge for auto travel will be billed at:

X Prevailing IRS rate                      \_\_\_\_\_ Other (describe):

(3) When the scheduled hearing day(s) requires an overnight stay:

\_\_\_\_\_ There is no charge, other than for lodging and subsistence.

X I charge as follows (describe): HALF PER DIEM

(4) Additional Comments:

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D) POSTPONEMENT OR CANCELLATION FEES.

A fee of **\$1,200** will be charged unless I receive notice of a postponement or cancellation:

within **14** calendar days of the scheduled hearing date

\_\_\_\_\_ other (describe):

E) ADDITIONAL CHARGES. I charge separately for expenses incurred in connection with the following:

Docketing (describe): \_\_\_\_\_ Yes  No

Duplication ..... Yes  No

Fax ..... Yes  No

Finance or late payment charge (describe): \_\_\_\_\_ Yes  No

Postage ..... Yes  No

Secretarial ..... Yes  No

Telephone ..... Yes  No

Other (describe): \_\_\_\_\_

F) GENERAL TERMS.

(1) Billing for fees and expenses will be divided equally between the parties unless otherwise required by the collective bargaining agreement or the conditions of the appointment.

(2) Other conditions (describe):

G) OTHER INFORMATION/COMMENTS.

SIGNED AND SUBMITTED BY ARBITRATOR ROTH ON JULY 11, 2011

IMPORTANT

THIS FORM IS NOT INTENDED TO SUGGEST THE SERVICES FOR WHICH AN ARBITRATOR SHOULD OR SHOULD NOT CHARGE. IT PRESENTS THE MOST RECENT INFORMATION PROVIDED BY THE NAMED ARBITRATOR TO THE NEW YORK STATE PUBLIC EMPLOYMENT RELATIONS BOARD, WHICH BEARS NO RESPONSIBILITY FOR ERRORS OR OMISSIONS CONTAINED ON THE FORM, OR FOR VARIANCES IN ACTUAL PRACTICE BY THE ARBITRATOR.